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April 6, 2004

**TELECOPIER TRANSMITTAL SHEET**

TELECOPY TO: OPIE -- 703-746-9195  
10/735,282

**REQUEST TO CORRECT FILING RECEIPT**

We are requesting for a corrected filing receipt. In the Domestic Priority Claims there is a typo of priority claim 60/443,020. Please can you correct this and fax to me a correct copy of this. Thank you so much for your assistance. The fax number is 916-498-1074.

[X] Original will not follow

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NUMBER OF PAGES SENT (INCLUDING COVER SHEET): 5

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DOCUMENT3

The United States Patent and Trademark Office mail room stamp hereon acknowledges receipt of the following items and indicates the serial number thereof.

For: **SYSTEMS, DEVICES AND METHODS FOR OPENING A BOTTLE SEALED WITH A STOPPER AND FOR SEALING A BOTTLE**  
In the name(s) of WILLIAM A. GARDNER; PATRICK M. WHELAN; ALAN VIRQUEE; PAUL K. NOVAK

1. New Application Transmittal (Pages 1-10);
2. First Preliminary Amendment (Pages 1-12);
3. Combination Declaration and Power of Attorney (Pages 1-5)
4. Patent Application:

Specification (Pages 1-73);  
Claims (Pages 74-104);  
Abstract (Page 105);  
Drawings (Sheets 1-33)

Express Mail No.: EV352304703US  
Date Mailed: 12 December 2003  
Attorney: John P. O'Banion  
Docket No: GAR1756.17A5

22390 U.S. PTO  
10/735282



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/735,282	12/12/2003	3727	0.00	GAR1756.17A5	33	61	4

CONFIRMATION NO. 3233

8156  
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## FILING RECEIPT



\*OC000000012168397\*

Date Mailed: 03/23/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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Paul K. Novak, Napa, CA;

## Domestic Priority data as claimed by applicant

This application is a CON of 10/443,461 05/21/2003  
which is a CIP of 10/306,633 11/27/2002  
which is a CON of 09/760,375 01/12/2001 PAT 6,510,957  
which is a CIP of 09/273,838 03/22/1999 PAT 6,179,140  
which is a CIP of 08/746,799 11/18/1996 PAT 5,884,789  
and said 10/443,461 05/21/2003  
claims benefit of 60/433,020 12/13/2002 \*  
and claims benefit of 60/382,410 05/21/2002  
(\*Data provided by applicant is not consistent with PTO records.

## Foreign Applications

If Required, Foreign Filing License Granted: 03/23/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

Title

Systems, devices and methods for opening a bottle sealed with a stopper and for sealing a bottle

Preliminary Class

215

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**TITLE OF THE INVENTION**  
**SYSTEMS, DEVICES AND METHODS FOR OPENING A BOTTLE SEALED**  
**WITH A STOPPER AND FOR SEALING A BOTTLE**

**CROSS-REFERENCE TO RELATED APPLICATIONS**

**[0001]** This application is a continuation of copending U.S. application serial number 10/443/461 filed on May 21, 2003, which is a continuation-in-part of copending U.S. application serial number 10/306,633 filed on November 27, 2002, which is a continuation of U.S. serial number 09/760,375 filed on January 12, 2001, now U.S. Patent No. 6,510,957, incorporated herein by reference, which is a continuation-in-part of U.S. application serial number 09/273,838 filed March 22, 1999, now U.S. Patent No. 6,179,140, incorporated herein by reference, which is a continuation-in-part of U.S. application serial number 08/746,799 filed on November 18, 1996, now U.S. Patent No. 5,884,789, incorporated herein by reference. This application also claims priority from U.S. provisional application serial number 60/443,020 filed on January 27, 2003, incorporated herein by reference, and U.S. provisional application serial number 60/382,410 filed on May 21, 2002, incorporated herein by reference.

**STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH**  
**OR DEVELOPMENT**

**[0002]** Not Applicable

**INCORPORATION-BY-REFERENCE OF MATERIAL**  
**SUBMITTED ON A COMPACT DISC**

**[0003]** Not Applicable

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